

# Application of AdS/CFT Correspondence to Non-equilibrium Physics

Analog Black Holes and Non-equilibrium Steady States

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Refs. H. Hoshino and S. N. in preparation.

S. N. and H. Ooguri PRD88 (2013) 126003.

H. Hoshino and S.N. PRD91 (2015) 026009.

We employ the natural unit:  $k_B=c=\hbar=1$ .

# Physics in last century

Photoelectric effect

Einstein 1905

Brownian motion

Relativity

They seemed to be **independent** stories.

String theory

**AdS/CFT** correspondence (Maldacena, 1997)

100 years later, they turned out to be **related**:  
they are just **two sides of the same coin**.

# Fundamental question

in statistical physics

What is **temperature**?

We have many answers.

# Definitions of temperature

$$P \propto e^{-E/T}, \quad t_E \approx t_E + 1/T \quad \text{Statistical distributions}$$

$$dE = TdS \quad \text{Thermodynamics}$$

$$D = T\mu \quad \text{Fluctuation-dissipation relation}$$

Diffusion const.



Two sides of the same coin.



AdS/CFT

We have **another** definition of temperature:

$$\left. \xi^a \nabla_a \xi^b \right|_{\text{Horizon}} = 2\pi T \left. \xi^b \right|_{\text{Horizon}} \quad \text{Hawking temperature}$$

Killing vector

# One side of the coin: general relativity

Einstein has formulated the theory of gravity in terms of geometry of space and time: general relativity.

“Energy-momentum **deforms** the spacetime.”

**Gravitational attraction** can be explained as an effect of **curved** spacetime.



Einstein-Hilbert action:

$$S = \frac{c^3}{16\pi G_N} \int d^{d+1}x \sqrt{-g} (R - 2\Lambda) + S_{\text{star}}$$

Curvature: ~ combination of **second derivatives** of the **metric**

Cosmological constant

Einstein's equation:

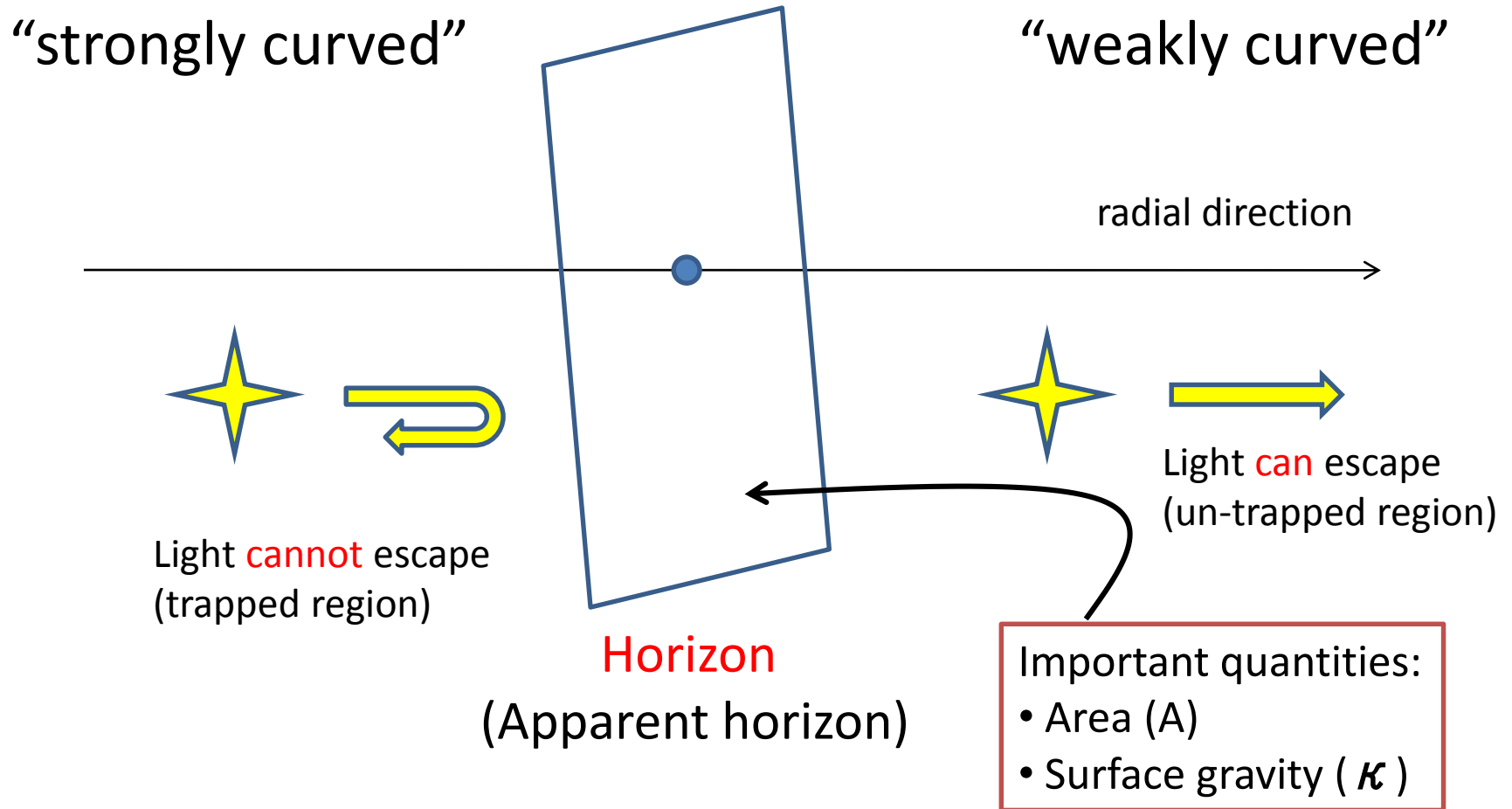
$$R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} + \Lambda g_{\mu\nu} = \frac{8\pi G_N}{c^4} T_{\mu\nu}^{(\text{star})}$$

Metric: defines unit length in the geometry

2nd-order differential equation

# Black hole

A **solution** to the Einstein's equation.



# Black hole mimics thermodynamics

Black holes obey:

$$dM = T_H dS_{BH}$$

Mass of the black hole

Hawking temperature

$$S_{BH} = \frac{k_B c^3}{\hbar G_N} \frac{A}{4}$$

Area of the horizon

Newton's constant

This resembles of the **first-law** of thermodynamics

$$dE = TdS$$

This is not only an analogy. A black hole **radiates** a black-body radiation with **Hawking temperature**: we can assign a **temperature** to a black hole.

Hawking, S. W. (1974). "Black hole explosions?". *Nature* **248** (5443): 30.

## Temperature in black hole.

# Black hole thermodynamics

Natural unit:  $k_B=c=\hbar=1$ .

	Thermodynamics	Black hole
0-th law	$T = \text{const. at the equilibrium.}$	$\kappa$ is constant in the static solution.
1st law	$dE = T dS$	$dM = [\kappa / (8\pi G_N)] dA$
2nd law	Entropy never decreases.	The area of horizon (A) never decreases.
3rd law	We cannot reach $T=0$ in any physical process.	$\kappa$ cannot reach zero in any physical process.

$\kappa$ : surface gravity (the gravitational acceleration at the horizon of the black hole)

$G_N$ : Newton's constant,  $M$ : mass of the black hole

A: area of the horizon

$\kappa$  and A mimic T and S, respectively.



$$T = \frac{\kappa}{2\pi}, \quad S = \frac{A}{4G_N}$$

$T_H = \kappa / 2\pi$ , by Hawking.

This does not seem to be just a coincidence.



# An answer from string theory:

## AdS/CFT correspondence

[Maldacena, 1997]

A quantum field theory  
of **gauge particles**

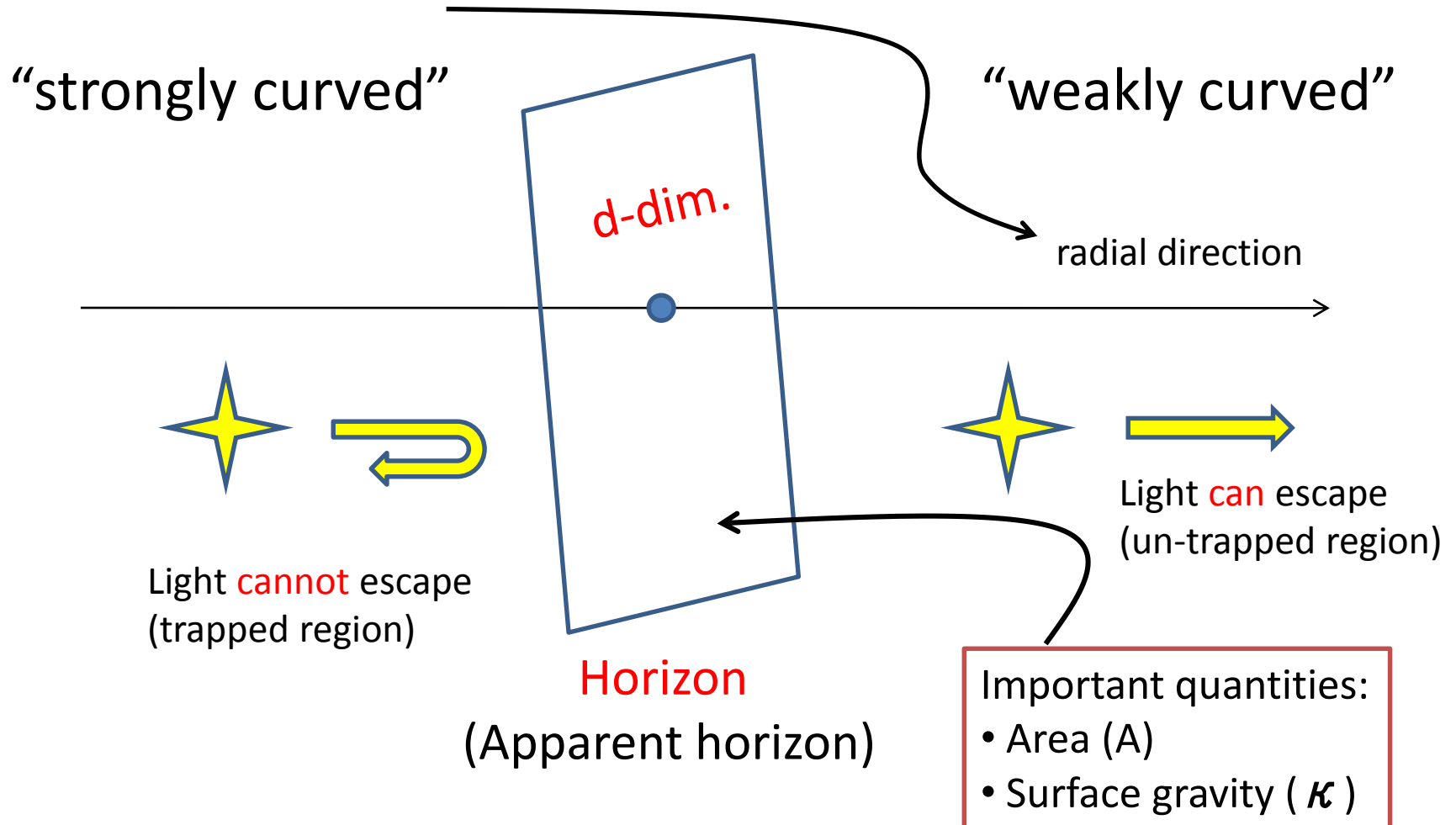
Equivalent

A classical theory of **gravity**  
on a **curved geometry** in  
**higher-dimensions**.

A **conjecture**, but **no** (established)  
**contradiction** has been found  
within more than **10,000** citations.

# Higher-dimensional gravity?

If the BH corresponds to the thermodynamics of a **d-dimensional** system, we need the **extra direction** to define the horizon.



# What is AdS?

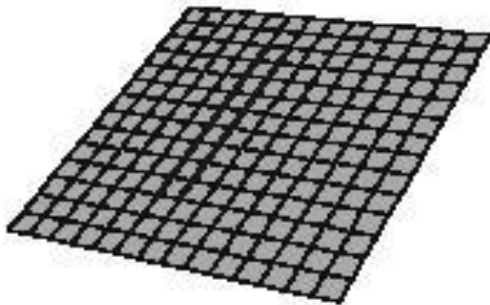
AdS: **A**nti **d**e **S**itter spacetime

A spacetime whose scalar curvature is **negative** and **constant**.

It has a **boundary**.



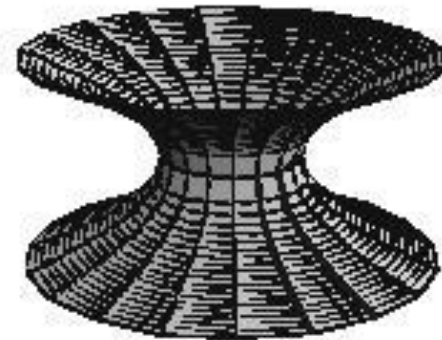
dS: **d**e **S**itter spacetime  
(**positive** constant curvature)



Zero curvature (flat)



Positive curvature



Negative curvature

# An answer from string theory:

**AdS/CFT** correspondence [J. Maldacena, 1997]

A quantum field theory  
of **gauge particles**



A classical theory of **gravity**  
on a **curved geometry**  
(typically on  $AdS_5$ )

The **correspondence** itself is at the level of **microscopic theory**.



**Finite temperature**



Many-body system  
of gauge particles  
at **temperature T**



**Black hole** geometry  
(typically on  $AdS_5$ )  
at **temperature T**

[E. Witten, 1998]

# Mystery in gravity

Many-body system  
of gauge particles  
at **temperature T**



**Black hole** geometry  
(typically on  $\text{AdS}_5$ )  
at **temperature T**

- AdS/CFT is a correspondence at the level of **microscopic theory** of gauge particles.
- What we have done in the gravity side was just **solving the differential equation**.

This is a **solution** to the Einstein's equation (2nd-order **differential equation**).

**Who** did the **coarse graining** to get the **thermodynamics**?

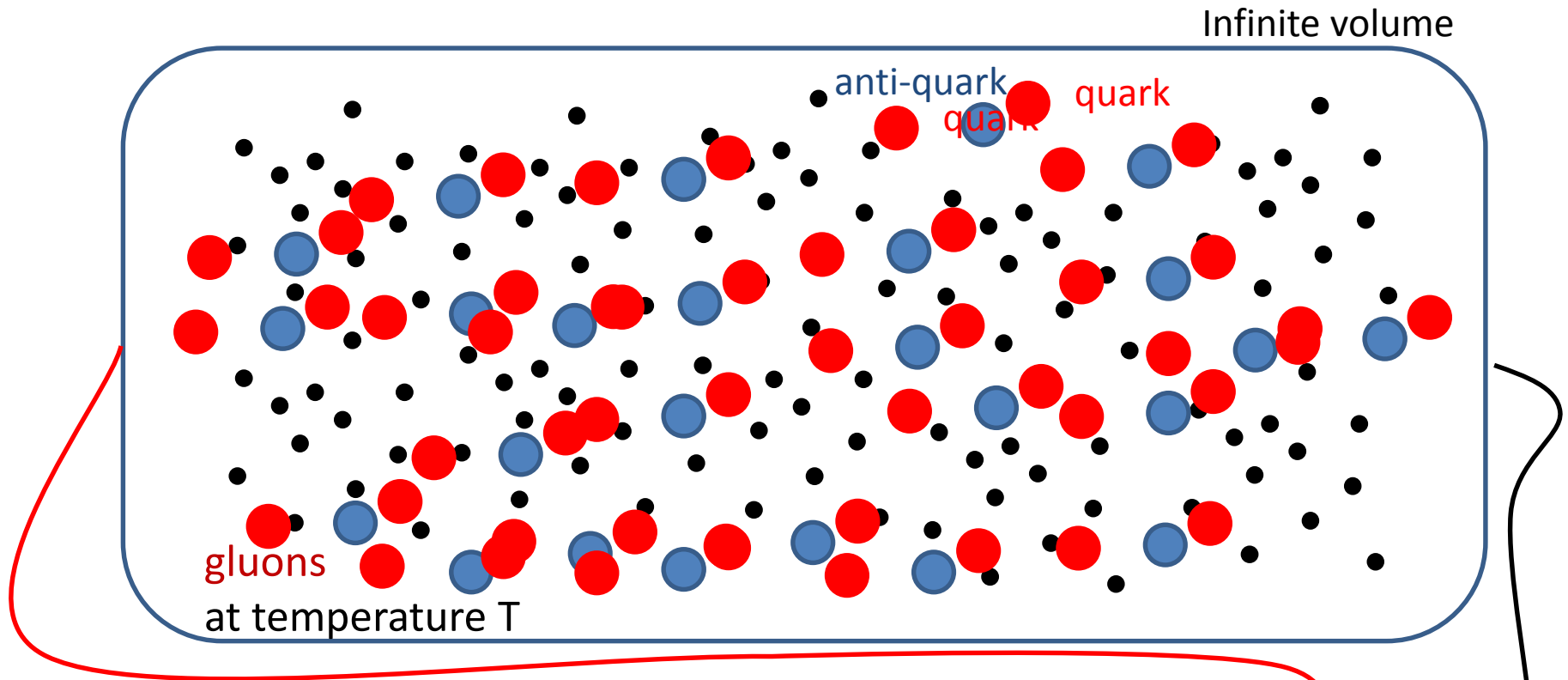
# Who did the coarse graining?

An “observational fact” in mathematical physics is that, starting with the microscopic theory of the gauge theory, we can reach a description of macroscopic physics by using the AdS/CFT correspondence in terms of gravity.

Micro to macro without “explicit” coarse graining.

I will use this property of gravity to get further information on non-equilibrium physics in this talk.

# The system we consider: a “conductor”



The system is **out of equilibrium** because of the presence of friction.

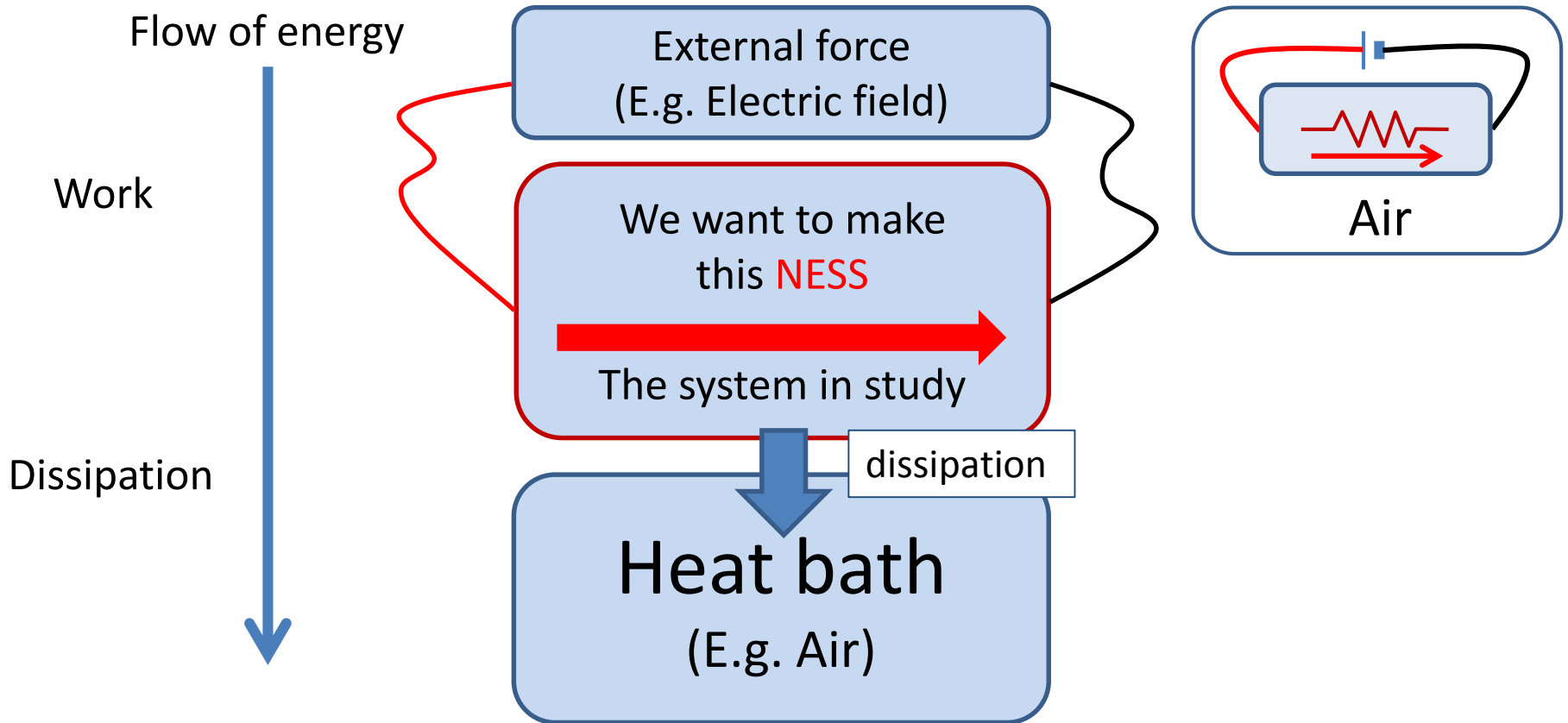
## Non-equilibrium **S**teady **S**tate (NESS):

- The system in study is **out of equilibrium**.
- But **time-independent (steady)**.

# Setup for NESS

External force and heat bath are necessary.

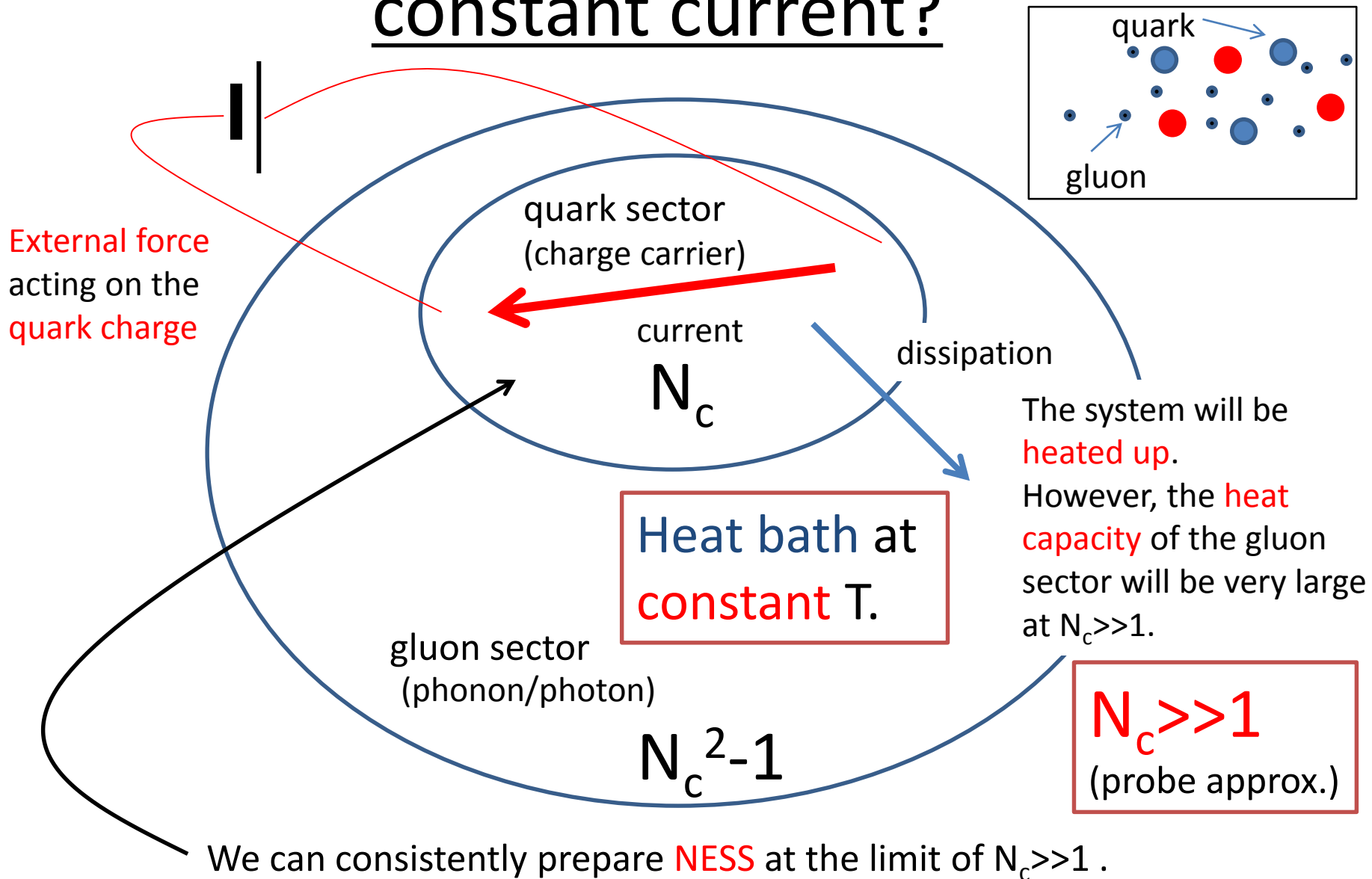
Power supply drives the system out of equilibrium.



The subsystem **can be NESS** if the work of the source and the energy dissipated into the heat bath are in **balance**.

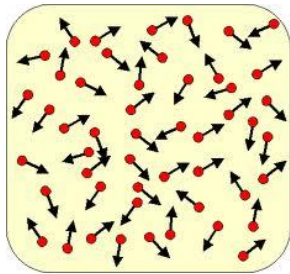


# How to realize the steady state with constant current?



# Map into the gravity dual

A strongly-interacting  
quantum gauge theory

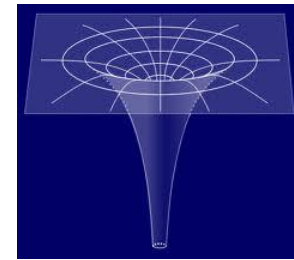


Heat bath  
(gluons)

Charged particles  
(quarks/antiquarks)  
in the heat bath

AdS/CFT  
↔  
equivalent

A classical gravity  
(general relativity)  
on a curved spacetime  
in higher dimensions.

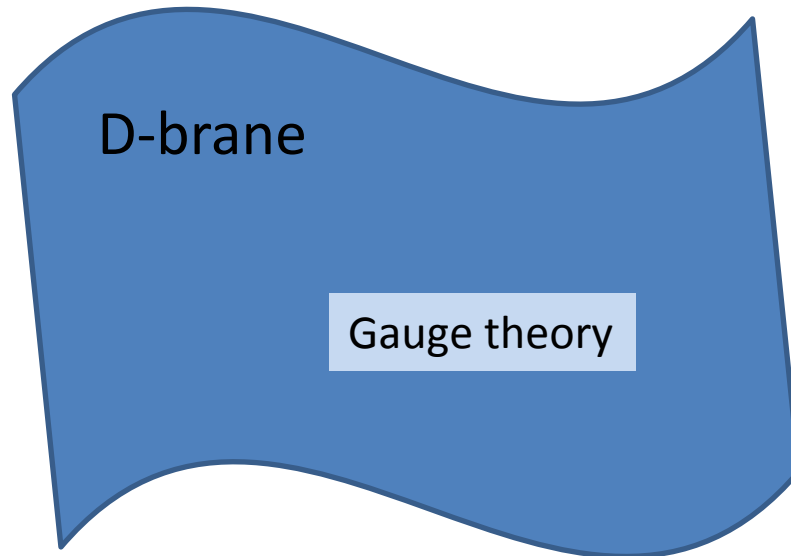


Black Hole

An object that is  
called D-brane.

# D-brane

- A solitonic object in superstring theory. It is like a membrane-like object.  
(D $p$ -brane: ( $p+1$ )-dimensional object)
- It effectively describes **a system of gauge particles.**



# AdS/CFT based on D3-D7

SU(Nc) N=4 Supersymmetric Yang-Mills (SYM)  
theory at large-Nc with  $\lambda = g_{\text{YM}}^2 Nc \gg 1$ .  
(Quantum field theory) **Finite T**

+ quark sector (N=2 hyper-multiplets)



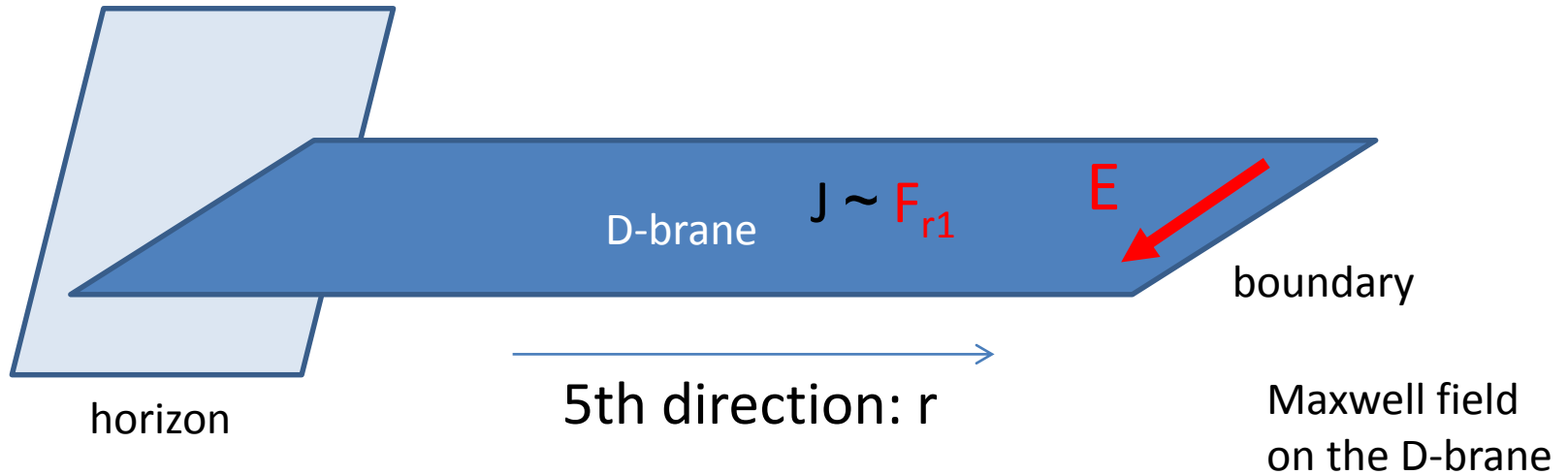
Equivalent

Type IIB supergravity at the classical level on  
weakly curved **AdS-BH  $\times S^5$**

+ **D7-brane** on this curved spacetime

# A cartoon in the gravity dual

[Karch and O'Bannon, 2007]



$$L_{\text{DBI}} = (\text{tension}) \sqrt{-\det \left( \partial_a X^\mu \partial_b X^\nu g_{\mu\nu} + F_{ab} \right)}$$

We apply an external electric field  $E$ .

$$A_1 = -Et + h(r)$$



$$J = \frac{\partial L}{\partial F_{r1}}$$

# Relationship between E and J

[Karch and O'Bannon, 2007]

$$\left(F_{r1}\right)^2 = J^2 \frac{g_{rr}}{|g_{tt}|} \frac{E^2 - |g_{tt}| g_{xx}}{J^2 - |g_{tt}| g_{xx}^2}$$

Again, we have a special point  $r_*$  given by

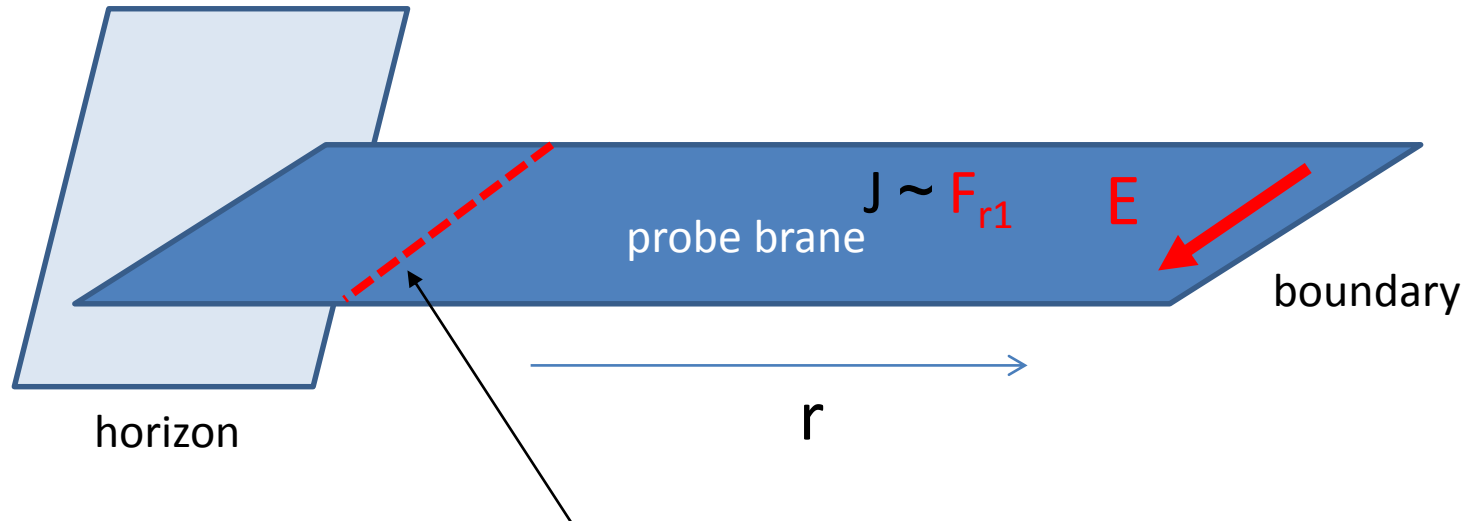
$$E^2 - |g_{tt}| g_{xx} = 0,$$

and  $J$  is given by  $\left(J^2 - |g_{tt}| g_{xx}^2\right)\Big|_{r_*} = 0$  in terms of  $E$ .

**$J(E)$  is obtained as a non-linear function.**

# Special point on the D-brane

[Karch and O'Bannon, 2007]



We have a special point  $r=r_*$ .

This plays a role of **another horizon** seen by the **fluctuations** (of **current**, for example) **in NESS**.

An **analog black hole**

[Gubser 2008, Kim-Shock-Tarrio 2011, Sonner-Green 2012]

[S.N. and H. Ooguri, 2013]

# What is analog black hole? “non-gravity black hole”

Sonic black hole in **liquid helium**.



Fast      **Sonic horizon** where the flow velocity exceeds the **velocity of sound**.      Slow

- The sound cannot escape from inside the “horizon”.
- It is expected that the **sonic horizon** radiates a “Hawking radiation” of **sound** at the “**Hawking temperature**”.

[W. G. Unruh, PRL51(1981)1351]

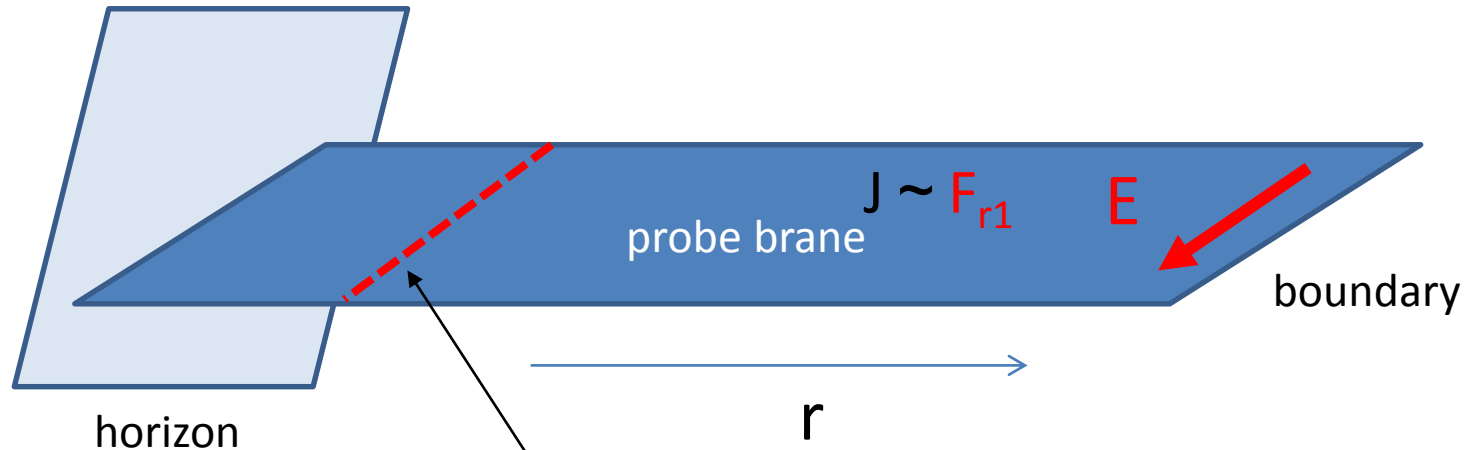
We **do** have “**temperature**”, but any “**thermodynamics**” with analog black hole has not been established so far.

[See for example, M. Visser, gr-qc/9712016 ]



# Special point on the D-brane

[Karch and O'Bannon, 2007]



Horizon for analog black hole

We do have **another temperature** in this sense.

[Gubser 2008, Kim-Shock-Tarrio 2011, Sonner-Green 2012]

[S.N. and H. Ooguri, 2013]

# Now we have **two** temperatures



**Black hole horizon** gives the temperature of the **heat bath**.

The **effective horizon** on the D-brane gives a different “**Hawking temperature**” that governs the **fluctuations in NESS**.

We call this **effective temperature**  $T_{\text{eff}}$  of **NESS**.

If the system is driven to **NESS**,  
 $r_H < r_*$  at the order of  $E^2$ .

**Two temperatures** appear only in the **non-linear regime**.

# The meaning of $T_{\text{eff}}$

Fluctuation of **electro-magnetic fields** on the D-brane  $\longleftrightarrow$  Fluctuation of **current density**

We can compute the **correlation functions** of **fluctuations** by using the technique of AdS/CFT.

$$\int dt \langle \delta J(t) \delta J(0) \rangle \Big|_{E \neq 0} = 2T_{\text{eff}} \frac{\text{Im } G^R(\omega)}{-\omega} \Big|_{\substack{\omega \rightarrow 0, \\ E \neq 0}}$$

**fluctuation** **dissipation**

See also, [Gursoy et al., 2010]

The **fluctuation-dissipation relation** at **NESS** is **characterized** by the **effective temperature** (at least for our systems).

# Thermodynamics in NESS?

$$dE = T_{\text{eff}}^{??} dS$$

It is **highly nontrivial**.

**Hawking radiation** (Hawking temperature) is **more general** than the **thermodynamics of black hole**.

Hawking radiation:

It occurs as far as the “**Klein-Gordon equation**” of fluctuation has the **same form** as that in the black hole.

Thermodynamics of black hole:

We need the **Einstein's equation**. It relies on the theory of **gravity**.

# Summary

At least for some examples of **NESS**:

- There exists **two temperatures** in the **non-linear** regime.
- The **effective temperature** appears in terms of the **Hawking temperature** at the effective horizon.
- It agrees with the **coefficient** in the generalized **fluctuation-dissipation relation** in **NESS**.
- $T_{\text{eff}} < T$  can happen for some cases.

Time to talk **beyond** the **research fields**.

# Possible directions with numerical work

The game is **how to solve** the **non-linear partial differential equations** in the gravity side.

**Numerical work** is definitely **important**.

- Numerical **relativity**
- But for **condensed matter physics**

# Physics in **this** century

Photoelectric effect

Einstein 1905

Brownian motion

Relativity

They seemed to be **independent** stories.

String theory

**AdS/CFT** correspondence (Maldacena, 1997)

Let us take the **wisdom of gravity**  
into physics of **other research field**.



# Condensed Matter Physics and AdS/CFT

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*\*tentative*

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